



FinTech Risk Management

Jeremy Round

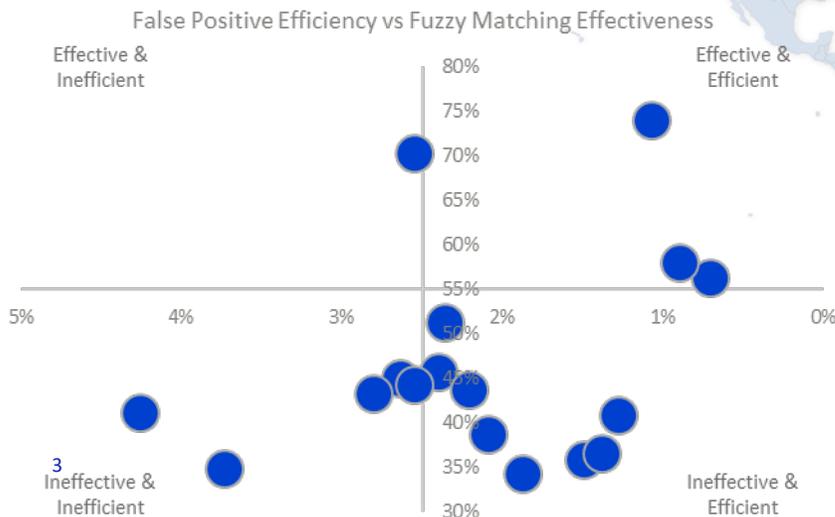
Practice Overview

Assurance

The SQA Consulting assurance service for your screening operations has been relied on by some of the biggest banking brands in the world since 2006.

It is designed to determine if your screening is fit for purpose and recommend a route to improved screening.

As more firms fall under regulations that require screening, the SQA Screening Benchmark becomes an ever more important tool in determining that you are performing to the expectations of both your industry and regulators.



Support

Either you, or your clients, are operating on a global stage with all of its associated risks. SQA consulting are helping to make your world a safer place to do business.

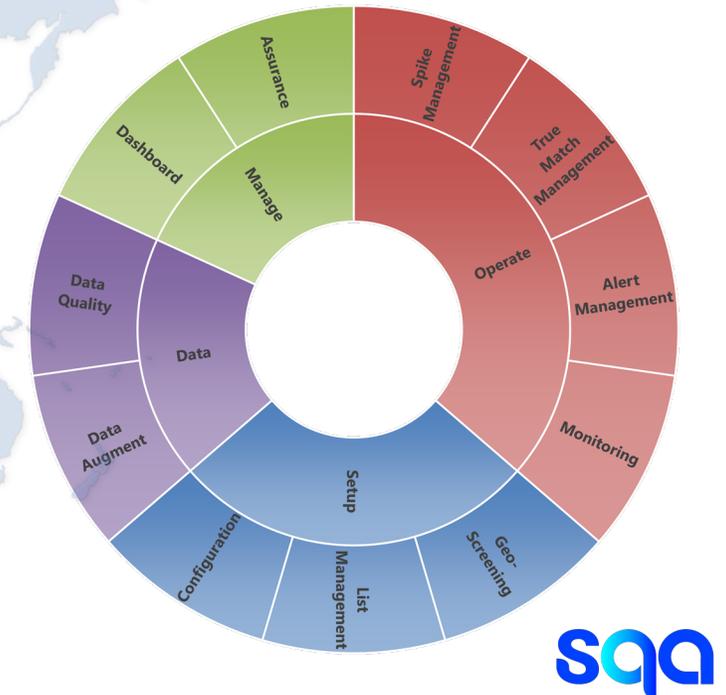
The **SQA Country Risk Index** address the inherent AML risks of doing business in any country of the world by bringing together over 100 different risk factors, and prioritising them according to your business profile.

The **SQA Cities Towns Regions and Ports** gazetteer under pins your screening operations by giving you a prioritised list of place names you should detect by screening. Not just in your language. Go global, but stay safe.

Join the many organisations that have commissioned a customised **training** session on all aspects of screening, take a **data quality** review and learn how your data is impacting your screening, ask us for some **consultancy** time, or just watch out for us on **UK Finance webinars** where we are regular contributors.

Managed Service

The SQA Consulting Managed Service can take away the pain of running a screening operation. Our UK based team provide everything you could wish for in operating your screening system of choice, from recommending your settings to remediating your alerts, all with the transparency, efficiency, and dedication that SQA Consulting have been providing to tier 1 banks for years.



Financial Crime Product and Service Fit

SQA Product and Service					
Objective	Screening Assessment	Data Profiler	Country Risk Index	CTRP	Managed Service
Select a screening product – RFP	✓				
Perform a regular screening health check	✓				
Validate a change to a screening system	✓				
Demonstrate screening effectiveness to a regulator	✓	✓			
Understand the screening impact of your data		✓			
Determine High Risk AML Countries			✓		
Screen for high risk countries				✓	
Build a screening service					✓

Financial Crime Business Outcomes

Service	Business Outcome / Deliverable	What we need from you
Screening Assessment	An observation document giving benchmarking graphs and detailing opportunities for improvement. Suitable for any of the three lines of defence.	A live like test environment in which to run our benchmarking test.
Data Profiler	An observation document showing the implication on screening of poor data, and giving opportunities for improving your data, or screening to compensate.	Access to the data that you provide for screening for 1 day.
Country Risk Index	You know which countries are of highest risk to your operation, allowing you to adjust policy, due diligence processes, and tune your screening settings.	A collaboration meeting to determine which of our indices are most applicable to your business.
CTRP	Your screening system can identify business involving address from high risk countries.	A collaboration meeting to determine which countries are high risk and how deeply you wish to screen.
Managed Service	You can select from our range of services to ultimately just receive notifications of true matches.	Your preferred vendor for your screening engine and your data to screen.

Contact Us

Patrick Chatee

Chief Executive Officer

SQA Consulting Limited

 +44 (0) 2034 099709

 +44 (0) 7779 338081

 patrick.chatee@sqa-consulting.com

IM patrick.chatee@sqa-consulting.com

 www.sqa-consulting.com

Jeremy Round

Managing Director

SQA Consulting Limited

 +44 (0) 2034 099711

 +44 (0) 7710 762657

 jeremy.round@sqa-consulting.com

IM jeremy.round@sqa-consulting.com

 www.sqa-consulting.com

Graham Perry

Business Development Director

SQA Consulting Limited

 +44 (0) 1773 525440

 +44 (0) 7868 849721

 graham.perry@sqa-consulting.com

IM graham.perry@sqa-consulting.com

 www.sqa-consulting.com

Thank you

